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High-cadence Imaging of the Sun

Final study report review data package - DS4

Recommendations for optimal post-processing of solar ALMA data

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Contents

1	Introduction	5
1.1	Context	5
1.2	Scope and aims of this report	5
2	Methodology	7
2.1	Outline	7
2.2	Construction of the solar test cases	8
2.2.1	Numerical simulations of the Sun	8
2.2.2	Radiative Transfer calculations	9
2.2.3	Simulated ALMA observations	9
2.3	Reference model	12
2.4	Test case selection based on solar observations with ALMA	12
2.4.1	Phase variations in the observed ALMA data	12
2.4.2	Test case selection	17
2.5	Imaging with SOAP	19
2.6	Systematic imaging parameter grid	19
2.6.1	CLEAN parameters	24

2.6.2	Algorithm	25
2.6.3	Imaging pixel grid	26
2.7	Imaging quality indicators	26
2.7.1	Introduction	26
2.7.2		27
2.7.3	New imaging quality indicators	28
2.7.4	Brightness temperature differences	31
2.7.5	Spatial power spectra	34
2.7.6	Unified Quality Indicator	36
3	Results	41
3.1	CLEAN parameter grid	41
3.1.1	Brightness temperature differences	41
3.1.2	Spatial power ratios	49
3.1.3	Comprehensive quality assessment based on the Unified Quality Indicator \ldots	58
3.2	Algorithm	58
3.3	Imaging pixel grid	63
3.4	Integration time	64
4	Conclusions and final recommendations	67
4.1	Summary of the results	67
4.2	Final recommendations for optimal imaging of solar data	67
4.2.1		68
4.3	Overall conclusions and recommendations.	68
4.4	Concluding remarks	69

1. Introduction

1.1 Context

The Atacama Large Millimeter/submillimeter Array (ALMA) offers diagnostic possibilities for the study of our Sun that have not been available before. In particular, ALMA's ability to serve as an essentially linear thermometer of the atmospheric gas at unprecedented spatial and temporal resolution in the millimeter wavelength range has great scientific potential. Consequently, solar ALMA observations will contribute significantly to answering long-standing questions about the structure, dynamics and energy balance of the outer layers of the solar atmosphere and thus promise high-impact results (see Wedemeyer et al. 2016; Bastian et al. 2018, and references therein). In contrast to many other astronomical sources, the Sun does fill the primary beam of ALMA with a complex emission pattern that covers a large range of spatial scales *and* evolves on extremely short time scales of only seconds and even below. Fully exploiting ALMA's possibilities therefore requires imaging at very high cadence. The resulting challenges with processing solar ALMA data during the past years have revealed the need for a thorough and systematic review and further development of the current solar observing mode and processing of the resulting data, which is quite different from the standard processing of other ALMA data. Please see Shimojo et al. (2017) and White et al. (2017) for a technical introduction to solar observing with ALMA.

1.2 Scope and aims of this report

While the overall goal of this study is to investigate a possible solar high-cadence imaging mode for ALMA, this report aims at providing first recommendations for optimal post-processing of solar ALMA data. Also, this report should be understood as a first demonstration of the potential of employed techniques for the future improvement and extension of the solar observing mode.

2. Methodology

2.1 Outline

The underlying principle is to use artificial observations based on a numerical model of the Sun, whose properties are known in detail, and to then apply post-processing methods with default and optimised parameters to these test data sets. Comparisons between the original and processed artificial ALMA observations then reveal which aspects of the input data can successfully be recovered (and which not) and how these procedures can be optimized accordingly. See Fig. 2.1 for a flowchart illustrating the method.

The forward modelling steps produce artificial ALMA observations, which serve as input for the post-processing steps. The input (sky) model is derived from radiative transfer calculations for time series from a state-of-the-art 3D numerical simulation of the solar atmosphere (see Sects. 2.2.1-2.2.2). The produced brightness temperature maps for all spectral channels in the current setup of Band 3 and Band 6 for the solar observing mode represent an ideal artificial observation at the angular resolution of the computational grid of the 3D simulation. These ideal test data, which present a realistic time-dependent sky model with precisely known properties, are then input in the Solar ALMA Simulator (SASIM, see Sect. 2.2.3). This tool produces artificial visibilities in the form of a measurement set (MS) that closely resembles real observational MSs as produced by ALMA. SASIM facilitates studying the influence of different instrumental effects and set-ups and of the Earth's atmosphere on the resulting measurement set.

It is important to emphasize that the post-processing steps including the imaging are exactly the same that are applied to data from real ALMA observations (see below). For this report, a parameter grid was explored that includes the most important CLEAN parameters for relevant value ranges for different weather scenarios. Comparisons of the images output by SOAP with the corresponding uncorrupted reference images reveal how well the imaging for a given choice of parameters performs. A set of quality indicators, which is calculated for each tested case, then allows for determining the optimal parameter combination and approach for the two receiver bands under different weather conditions. The quality indicators make use of brightness temperature differences, spatial power



Figure 2.1: Simplified outline of the methodology. See text for details.

spectra, and temporal variations. Different ranges of spatial scales and variations as a function of radius in the maps are considered. In the following, a brief overview over the relevant components is given. A more detailed technical description is provided in the *Tech. Doc.* (Wedemeyer et al. 2023). Please note that CASA 5.7 was used for the production of the artificial MSs and the imaging with SoAP.

2.2 Construction of the solar test cases

In this section, the construction of the solar test data are described. The data are derived from 3D simulations of the solar atmosphere (Sect. 2.2.1) and radiative transfer calculations (Sect. 2.2.2) that result in a time-dependent *sky model*. The latter is then used as input for the production of simulated measurement sets with the Solar ALMA Simulator (Sect. 2.2.3).

2.2.1 Numerical simulations of the Sun

The model of the Sun used in this report is produced with the state-of-the-art 3D radiation magnetohydrodynamics (RMHD) code BIFROST (Gudiksen et al. 2011; Carlsson et al. 2016). It should be emphasised that this model is state-of-the-art and thus as realistic as currently possible. The computational domain includes the top of the convection zone, the photosphere, the chromosphere and the lower parts of the corona, and thus all layers that are relevant for the formation of radiation at (sub)millimeter wavelengths. For this study, a 3D simulation is chosen that is representative for



Figure 2.2: Numerical simulation of the Sun. Left: 3D snapshot of the RMHD simulation with BIFROST showing the logarithmic gas temperature. The horizontal layers show the photosphere, chromosphere, and top of the simulation box. Right: The resulting brightness temperature as calculated with the Advanced Radiative Transfer (ART) code for a frequency of 248 GHz (wavelength of 1.204 mm). Courtesy of Wedemeyer & Szydlarski.

a large part of the Sun. It includes an enhanced network region with stronger magnetic fields and overarching coronal loops surrounded by Quiet Sun patches. The computational grid has a horizontal extent of 24.0 Mm \times 24.0 Mm with a grid resolution of 47.6 km, which at the distance Sun-Earth corresponds to 33.1" \times 33.1" and 0.066", respectively. The simulation sequence used for this report has a duration of 1 min at a 1 s cadence. See Fig. 2.2 for an illustration.

2.2.2 Radiative Transfer calculations

The resulting time series of 3D model snapshots is used as input for the Advanced Radiative Transfer (ART) code (de la Cruz Rodriguez et al., in prep.), which then simulates how the model Sun would be seen at full resolution from Earth at frequencies observed with ALMA (see Fig. 2.2). These maps thus correspond to perfect artificial observations with a single solid surface telescope with a diameter of \sim 5 km (Band 6) and \sim 11 km (Band 3), respectively, and are thus superior to what ALMA could achieve even under ideal conditions. ART is here used to calculate time series for all spectral channels for both Band 3 and Band 6. The frequencies for which ART maps are calculated are chosen to be identical to the actual spectral setup of ALMA's Band 3 and Band 6 solar observing modes in order to produce a test case that is as close to actual observations as possible. Please see the next section for details on the resulting data and the *Tech. Doc.* (Wedemeyer et al. 2023) for details on ART.

2.2.3 Simulated ALMA observations

The next step is to convert the ART maps into input files for the Solar ALMA Simulator (SASIM, see the *Tech. Doc.* (Wedemeyer et al. 2023)). A detail that should be mentioned is that the horizontal

	Band 3	Band 6
Spectral set-up	4 spectral windows	4 spectral windows
	with 128 spectral channels each	with 128 spectral channels each
Central frequencies of spectral windows [GHz]	[93.0, 95.0, 105.0, 107.0]	[230.0, 232.0, 246.0, 248.0]
Bandwidth of spectral windows [GHz]	[2.0, 2.0, 2.0, 2.0]	[2.0, 2.0, 2.0, 2.0]
Spatial extent	165.5" x 165.5"	99.3" x 99.3"
Spatial resolution (x,y)	0.066", 0.066"	0.066", 0.066"
Repetition of ART maps	5×5	3×3
Sequence duration	1 min (60 steps)	1 min (60 steps)
Time resolution	1s	1s

Table 2.1: Properties of the brightness temperature maps produced with ART.

extent of the 3D BIFROST model and the corresponding ART map are too small to fill up the full field of view of ALMA at the frequencies of Band 3 and Band 6. The maps are therefore periodically repeated in all directions so that the final map consists of tiles filled with the initial ART map. This is possible due to the periodic lateral boundary conditions of the BIFROST model. This procedure is carried out for all time steps and all spectral channels. The resulting Band 3 and Band 6 input data sets are described in Table 2.1. In addition, one frequency-averaged map is calculated for each time step for both Band 3 and Band 6, which includes all spectral windows with a total bandwidth of 8 GHz for each band. The resulting 1 min long sequences are later used for the construction of reference models.

The sets of brightness temperature maps as summarised in Table 2.1 are then used (separately) as input for SASIM. Time step by time step, each set of maps is used as an input sky model and then finally concatenated into one measurement set for Band 3 and one for Band 6. These uncorrupted MSs thus represent what an ideal ALMA could achieve under ideal weather conditions, i.e. in the absence of instrumental noise and in the absence of Earth's atmosphere. Please note that the date and time of the simulated observation was selected based on real ALMA observations of the Sun (see, e.g., the data on SALSA¹). Consequently, the observations take place when the Sun is not close to the zenith, resulting in elongated synthetised beams as they are commonly seen for real ALMA observations of the Sun (see Table 2.2).

In the next step, the impact of the Earth's atmosphere on the data is simulated by corrupting the phase information in the MS. For this purpose, a time-dependent phase screen with fluctuating precipitable water vapour (PWV) level generated with fractional Brownian Motion is used, which moves at a user-specified wind speed over the antenna array and thus decorrelates the interferometric signal. See the *Tech. Doc.* (Wedemeyer et al. 2023) for more details.

It turned out that the phase corruption as implemented in *settrop* function in CASA does not produce realistic results for daytime ALMA simulations at high cadence as required for solar observations. Consequently, the weather (i.e. phase corruption) scenarios are not selected by simply specifying

¹http://sdc.uio.no/salsa/



Figure 2.3: Reference images used for Band 3 (left) and Band 6 (right). The first time step of each series is shown.

the PWV level but rather through a detailed study of the phase corruption properties in real ALMA data (see Sect. 2.4).

It should be mentioned that neither the brightness temperature maps for the spectral channels (see Sect. 2.2.2) nor the reference model maps (see Sect. 2.3) are multiplied by the primary beam prior to being used as input for SASIM. Application of the primary beam occurs as part of *simobserve()* when it is called during the execution of SASIM. Please note that a mask (based on the primary beam response) is applied within SOAP and also to the reference model maps (see examples in Fig. 2.3).

robust	Band 3			bust Band 3 Band 6			
	major	minor	angle	major	minor	angle	
-2.0	1.700	1.240	80.85	0.745	0.537	81.98	
-1.5	1.700	1.240	80.85	0.745	0.537	81.98	
-1.0	1.700	1.241	80.85	0.746	0.537	81.98	
-0.5	1.703	1.242	80.87	0.746	0.538	81.96	
0.0							
0.5	1.865	1.334	80.99	0.805	0.571	81.63	
1.0	2.147	1.465	81.11	0.905	0.617	81.41	
1.5	2.267	1.516	81.30	0.947	0.635	81.39	
2.0	2.282	1.523	81.30	0.956	0.637	81.42	

Table 2.2: Synthesised beam sizes as produced during the imaging process.



Figure 2.4: Major (black symbols) and minor (white symbols) axes of synthesised beams as function of the robust parameter for Band 3 (left) and Band 6 (right).

2.3 Reference model

The original ART maps, which correspond to the SASIM input, are averaged over frequency before they are convolved with a synthesised beam. This results in reference models with a time series of 60 maps at 1 s cadence. The synthesised beam widths for the major and minor axes slightly increase with increasing value of the robust parameter as can be seen in Table 2.2 but otherwise vary negligibly during the considered time window. Accordingly, a reference model is derived for each receiver band and for each considered value of the robust parameter (see Table 2.2), i.e. 2×9 in total. Please note that setting robust to zero activates the default value of 0.5 so that the cases of robust = 0.0 and 0.5 are identical. For this study, the case with the highest spatial resolution (i.e. robust=-2) is chosen for the reference models. This choice is motivated by the notion that high spatial and temporal resolution are of fundamental importance for most solar science cases and are thus the ideal outcome. After applying the synthesised beam, the reference models are interpolated to the exact same pixel grid that is produced in the imaging process with SOAP.

Please note only interferometric observations without any additional Total Power (TP) observation are simulated in this study as the treatment of TP data and the combination with interferometric data is in itself an aspect that potentially needs further development in the future. For that reason, the mean brightness temperature value of the reference model is subtracted. This mean value, which would correspond to a TP offset, is determined across all time steps for the same pixels that are within the mask resulting in the imaging process with SOAP (due to the selected primary beam response threshold). Examples for reference model maps are shown in Fig. 2.3.

2.4 Test case selection based on solar observations with ALMA

2.4.1 Phase variations in the observed ALMA data

In order to ensure that the simulated measurement sets are sufficiently realistic, a large number of measurement sets from real ALMA observations are analysed. In this report, bandpass calibrator



Figure 2.5: XX and YY phase as function of time for five selected baselines from short to long (top to bottom row) before (left column) and after calibration (right column). The data was taken from the bandpass calibration scan of a real measurement set as part of a solar Band 6 observation with ALMA (project 2016.1.00156.S). Please note the smaller phase axis range for the post-calibration panels to the right.

scans are used as they typically cover a longer time window and thus provide a statistically more robust view on the seeing conditions encountered at the ALMA site during daytime observations. For the following analysis, 19 Band 3 and 16 Band 6 bandpass calibrator scans are used. As an example, the scan 2016.1.00423.S.uid___A002_Xbf032d_X558c is illustrated in Fig. 2.5, which was obtained in Band 6 in array configuration C-3 with a maximum baseline of ~ 500 m. The figure contains five selected baselines from short to long before and after calibration. The significant improvement of the data quality as a result of successful calibration is clearly seen from the reduction



Figure 2.6: Spatial structure function (SSF) for a bandpass calibration scan from project 2016.1.00423 before (top row) and after calibration (bottom row). The left and right column show the data on a linear and a log-log scale, respectively. Both XX and YY phases are included with different colors. The horizontal lines mark phase RMS limits as used in ALMA's quality assessment.

of the amplitude of the phase variation and also the removal of large differences between the XX and YY phases, which is most notable for the longest shown baseline (bottom row). Please note that the calibration was done with the script that is provided together with each MS from the ALMA Science Archive, thus following the ALMA's suggested calibration for solar MSs.

Degradation of the phase data and the (partial) correction during the calibration process can be visualised and quantified with the Spatial (Phase) Structure Function (SSF), which is here calculated for all considered bandpass calibrator scans. The SSF is given by the root-mean-square (rms) of



Figure 2.7: Phase variations in real solar observations with ALMA for Band 3 (left) and Band 6 (right) as shown in Fig. 2.8. The rows show different combinations of the median and the rms variation of the post-calibration SSF phase variation values and the PWV and wind speed. For reference, empty circles represent the data for the other receiver band.



Figure 2.8: Phase variations in real solar observations with ALMA for Band 3 (left column) and Band 6 (right column). Both rows show the same data with the difference that the symbol color indicates the average PWV in the top row but the wind speed in the bottom row for each set. For reference, empty circles represent the data for the other receiver band. The median and rms variation values are derived from the respective post-calibration SSFs for each considered measurement set.

the phase fluctuation as a function of baseline length (see the *Tech. Doc.* (Wedemeyer et al. 2023) and, e.g., Ishizaki & Sakamoto 2005). The SSF for the aforementioned bandpass calibration scan is shown in Fig. 2.6. The SSF of the uncalibrated data (top row) exhibits and increase of the phase rms as function of baseline length from $\sim 10^{\circ}$ for the shortest (ACA) baselines to about 50° for the longest baselines. As clearly visible in the bottom row of Fig. 2.6, the calibration step results in a removal of the slope and thus the dependence on baseline length and in an overall reduction of the phase rms values. In the selected example, the post-calibration values are around 10° and somewhat below as compared to the up to 50° before calibration. The resulting flat post-calibration SSF is in the following quantified by the median and the standard deviation of the phase rms values.

The resulting median and standard deviation values for all considered bandpass calibration scans are presented in Fig. 2.7 compared to the average PWV level and wind speed at the time of observation. In addition, the median values are compared to the standard deviation values while at the same being

color-coded for to the PWV levels (top row) and wind speed (bottom row) in Fig. 2.7. The plots do not show any clear dependence of the SSF median or variation on PWV level or wind speed. This is particularly important to note as the PWV value is commonly used as a quality indicator for observations and as such even provided in the ALMA Science Archive. Even more important for this study is the fact that PWV is a primary parameter used for the corruption of simulated measurement sets. It is therefore meaningless to create test cases solely based on PWV even for values that occur in actual observations. Instead, an alternative approach was pursued for this study, which establishes test cases directly based on the properties of the corresponding SSF. However, the analysis of real measurement sets suggests that the seeing conditions at the ALMA site are too complex as that they could be satisfactorily characterised by only one or a few parameters. This might be in particular apply to high cadence observing during daytime.

The SSF parameters displayed in Fig. 2.7 also show that the standard deviation of the post-calibration phase rms values remains consistently small for small to intermediate SSF median values but spreads over a much larger range and much larger values beyond a certain median value. This transition occurs roughly at SSF phase rms median value of 9-10° for Band 3 and at about 6° for Band 6, respectively. This finding implies that it is possible to define meaningful and representative test cases for SSFs with smaller median values but the SSFs can differ significantly if these critical median levels are surpassed. This behaviour is most likely connected to increasingly problematic cases with difficult and possibly variable seeing conditions and resulting problems for the calibration stage. At this point, we would like to emphasise that turbulence in Earth's atmosphere with its impact on seeing conditions is a complex phenomenon that affects astronomical observations in general but is certainly particularly challenging at daytime.

2.4.2 Test case selection

As the PWV level is not sufficient as a primary parameter for the selection of different seeing and thus phase corruption scenarios, the test cases are selected based on the properties of their SSFs instead. The median and the standard variation of the phase rms as shown in the SSF plots in Figs. 2.8-2.7 are used to define in total seven scenarios from excellent to extreme conditions (see Table 2.4).

Reproducing artificial observations with matching SSF properties makes it necessary to compute corrupted measurement sets for a sufficiently large parameter grid that covers the above defined scenarios commonly encountered during real observations. For this purpose, the SASIM parameters

Parameter	n	Values
PWV	14	0.0, 0.25, 0.50, 0.75, 1.00, 1.50, 2.00, 2.50, 3.00, 4.00, 5.00, 6.00, 7.00, 8.00
dPWV	9	0.0, 0.02, 0.05, 0.10, 0.15, 0.20, 0.30, 0.40, 0.50
Wind speed	8	0.0, 1.0, 2.0, 5.0, 7.00, 10.0, 15.0, 20.0
Simple	18	0.0, 0.05, 0.10, 0.15, 0.20, 0.25, 0.30, 0.40, 0.50, 0.60, 0.70, 0.80, 0.90,
noise		1.00, 1.10, 1.20, 1.30, 1.40

Table 2.3: Values for the considered phase corruption parameter grid. The units for PWV and dPWV are mm, while wind speed and simple noise are given in m/s and Jy, respectively.

Target		get	Matched SASim parameters					
#	Description	SSF-M	SSF-V	PWVa	dPWV	beta	wspd	smpn
	Band 3							
0	Uncorrupted	-	-	-	-	-	-	-
1	Excellent	2.00	0.20	0.50	0.30	1.10	10.00	0.40
2	Very good	4.00	0.50	1.00	0.40	1.10	5.00	0.70
3	Good	6.00	0.80	1.50	0.45	1.10	7.00	0.75
4	Moderate	8.00	1.30	2.20	0.45	1.10	7.00	0.80
5	Challenging	12.00	3.00	4.00	0.45	1.10	1.00	0.90
6	Problematic	16.00	4.00	5.50	0.45	1.10	1.00	1.20
7	Extreme	30.00	4.00	8.00	0.45	1.10	20.00	2.00
		1	В	and 6			1	
0	Uncorrupted	-	-	-	-	-	-	-
1	Excellent	2.00	0.20	0.50	0.02	1.10	7.00	0.40
2	Very good	4.00	0.50	1.00	0.03	1.10	7.00	0.50
3	Good	6.00	0.80	1.50	0.03	1.10	10.00	0.65
4	Moderate	8.00	1.30	2.00	0.03	1.10	1.00	1.40
5	Challenging	12.00	3.00	4.00	0.03	1.10	1.00	1.40
6	Problematic	16.00	4.00	5.50	0.03	1.10	1.00	1.00
7	Extreme	30.00	4.00	8.00	0.03	1.10	20.00	2.00

Table 2.4: Selected scenarios for Band 3 and Band 6 as defined by the median and variation of the Spatial Structure Function (SSF) based on measurement sets obtained with ALMA. Each scenario is matched with a simulated measurement set that produces a SSF with similar median and variation values. The corresponding SASim corruption parameters are listed for each scenario.

PWV, dPWV, wspd (wind speed), and smpn (simple noise) are varied, while the parameter beta is kept at its default value of 1.1. The values for the resulting 4-dimensional parameter grid are listed in Table 2.3.

Accordingly, phase corruption for all 18140 parameter combinations was applied to the initial uncorrupted calibrator measurement set for each receiver band with SASIM, and the median and standard deviation of the corresponding SSFs was calculated. In the next step, it was determined for each receiver band and each corruption scenario which parameter combination produces a SSF with a median and a standard variation of the phase rms closely matching the prescribed values of the selected scenario. This process required the calculation of about 200 additional parameter combinations for each band in order to better resolve the parameter space close to the target parameters for each scenario. The resulting parameter combinations for Band 3 and 6 for all scenarios are listed in Table 2.4.

2.5 Imaging with SOAP

The Solar ALMA Pipeline (SOAP) uses the CASA task *tclean*. It is based on the CLEAN algorithm, which is commonly used for imaging of interferometric data, although in various forms and implementations. The aim is to deconvolve the dirty map that results from an interferometric measurement set and to deal with the sparse uv sampling. The processing thus also aims at removing any imprint of the instrument's non-ideal contributions to its point spread function (such as sidelobes). The CLEAN algorithm does this iteratively (see the technical document for details) with an outer loop of major cycles and an inner loop of minor cycles, which can be controlled with user-specified parameters.

In its current implementation, SOAP is using preset parameters for *tclean* that are based on the experience of the SolarALMA group in Oslo and their collaborators with Cycle 4-5 solar data. It is possible though to override the preset parameters with the values described in Sect. 2.6.1. SOAP detects the different time stamps in the input measurement set (here the artificial MSs produced with SASIM, see Sect. 2.2.3) and produces time series of brightness temperature maps. Examples for the resulting maps are shown in Figs. 2.9-2.12. Please note that CASA 5.7 was used for this step.

2.6 Systematic imaging parameter grid

Given the large number of possible parameter combinations and the resulting computation times, the number of tested cases has been limited in a meaningful way. The focus is on the most commonly used setup in the first cycles with regular solar observations.

- Interferometric observations only. At this stage, no combination with TP contributions is performed within SASIM.
- Antenna configuration. While SASIM allows for simulating different antenna configurations, we focus here on the configuration chosen for the majority of solar ALMA observations so far, namely configuration 3 (more precisely, e.g., in Cycle 5: C43-3). The configuration files alma.cycle5.3.cfg and aca.cycle5.cfg are used for this purpose. According to the ALMA Cycle 5 Technical Handbook², the baselines in this configuration of the 12-m Array range from 15m to 500m, whereas the ACA covers baselines from 9 m to 30 m, resulting in the following angular resolutions (AR) and maximum recoverable scales (MRS):

	Band 3	Band 6
Angular resolution 12-m Array	1.42"	0.62"
Angular resolution ACA	12.5"	5.45"
Maximum recoverable scale 12-m Array	16.2"	7.02"
Maximum recoverable scale ACA	66.7"	29.0"

Due to problems with handling such heterogeneous arrays, the 12 m and the ACA antennas are combined into the same configuration file and the antenna diameter of the ACA antennas is set to 12 m.

²ALMA Cycle 5 Technical Handbook: Doc 5.3, ver. 1.0, March 21, 2017



Figure 2.9: Imaging results for Band 3 for the uncorrupted measurement set (top row) and the scenarios excellent, very good, and good (rows from top to bottom). The brightness temperature maps for the first time step are shown in the left column and the corresponding difference to the reference model (see Fig. 2.3a) in the right column. The same colour scale is used for all maps in their respective column.



Figure 2.10: Imaging results for Band 3 for the scenarios moderate, challenging, problematic and extreme (rows from top to bottom). The brightness temperature maps for the first time step are shown in the left column and the corresponding difference to the reference model (see Fig. 2.3a) in the right column. The same colour scale is used for all maps in their respective column.



Figure 2.11: Imaging results for Band 6 for the uncorrupted measurement set (top row) and the scenarios excellent, very good, and good (rows from top to bottom). The brightness temperature maps for the first time step are shown in the left column and the corresponding difference to the reference model (see Fig. 2.3b) in the right column. The same colour scale is used for all maps in their respective column.



Figure 2.12: Imaging results for Band 6 for the scenarios moderate, challenging, problematic and extreme (rows from top to bottom). The brightness temperature maps for the first time step are shown in the left column and the corresponding difference to the reference model (see Fig. 2.3b) in the right column. The same colour scale is used for all maps in their respective column.

- **Single-pointing time series.** As the overall aim of this study is to investigate and optimize high-cadence imaging of the Sun, the focus is on short time series at a cadence of 1s as it was offered since Cycle 5. Mosaics are not considered as they result in only one combined image and thus do not offer any time series.
- **Receiver bands:** The focus is on Band 3 and Band 6 as they have been offered since the first regular solar observations in Cycle 4 and are the most used receiver bands so far.
- **Full-band maps.** The standard is currently to use all 4x128 spectral channels for the reconstruction of one map, sometimes referred to as "full-band map" of "continuum map". In principle, solar ALMA observations can be split into sub-bands and even individual spectral channels but this is especially challenging due to the then small number of available visibilities in snapshot mode. The study is therefore limited to the current standard (continuum maps).
- **Direction / time of observation:** As the Sun moves over the sky in the course of the day, the shape of the synthesised beam will change. It will be the least elongated and thus the closest to a circular shape when the Sun stands highest on the sky at local noon. This effect is small during a typical solar scan of 10min duration but can be notably different for observations during different times of the day. Here, we choose a case of observing close to local noon with only slightly elongated beams (see Table 2.2). This accounts for non-circular beams while still being representative for the majority of solar ALMA observations.

It should be noted that it is straightforward to extend the study to other receiver bands and antenna configurations, even those that are not available in reality yet. Such an extension, however, needs adequate computational and human resources.

2.6.1 CLEAN parameters

In this study, the *tclean* parameters niter and gain, which control the iteration cycles, and the visibility weighting (sub)-parameter robust are considered (see Sect. 2.5 and the *Tech. Doc.* (Wedemeyer et al. 2023) for more details).

niter: Controls the (maximum) number of iterations, i.e. major cycles. During one iteration, one flux component is selected and partially removed from the residual image. When niter=0, only the initial major cycle is executed (which produces the dirty map etc.).

niter	100	1000	2500	5000	10000	25000
-						

gain: The (loop) gain controls the minor (or inner) cycles during which a fraction of the flux is removed from the residual image.

gain 0.02 0.05	0.10	0.20	0.50	
-----------------------	------	------	------	--

robust: The (sub-)parameter robust controls the weighting scheme (in the Briggs mode) that is used when mapping the visibilities onto a regular grid in uv-space. A value of -2.0 results in essentially *uniform* weighting, whereas a value of 2.0 essentially leads to *natural* weighting. Uniform weighting gears the solution to maximum angular resolution (with a small synthesised beam), whereas natural

2.6 Systematic imaging parameter grid

weighting is a better choice in terms of sensitivity but produces a larger synthesised beam. A value of robust=0 is defined as compromise between both weighting schemes.

robust -2.	.0 -	-0.5	0.0	+0.5	+2.0
		0.0	0.0		

Other parameters: The various CLEAN implementations, including the CASA task *tclean* used here, have a large number of user-controllable parameters beyond those chosen for this study. For instance, the parameter threshold is not considered here as it has in practice no relevance due to other stop criteria connected to above mentioned parameters prevailing.

2.6.2 Algorithm

As a default for this study, the processing of (artificial) ALMA data has been performed with the Solar ALMA Pipeline level 2, which builds up on the CASA task *tclean* (see, e.g. McMullin et al. 2007). More specifically, the multi-scale (multi-frequency) CLEAN algorithm (Rau & Cornwell 2011a) as implemented in CASA is used. Here, we investigate alternative choices for the deconvolver provided in CASA *tclean*³:

test case	Algorithm name	tclean argument	Reference
Soap Ivi2	MultiScale Clean	multiscale	Cornwell (2008)
hogbom	Hogbom Clean	hogbom	Högbom (1974)
clark	Clark Clean	clark	Clark (1980)
mtmfs	Multi-Term (MultiScale) Multi-Frequency	mtmfs	Rau & Cornwell (2011b)
	Synthesis		
	Additional case		
SOAP IVI3	MultiScale Clean + self-calibration	multiscale	Cornwell (2008)

For each algorithm, the 60 s long sequences without phase corruption for Band 3 and Band 6 are processed. To enable a fair comparison, the following parameters are chosen in all cases:

```
niter = 15000, gain = 0.02, robust = 0.5
```

SOAP level 3 is considered as an additional test case. It uses the same deconvolver as SOAP level 2 but includes self-calibration, which involves a multilevel averaging for phase correction. Please refer to the *Tech. Doc.* (Wedemeyer et al. 2023) for more details on SOAP.

Please note that experiments with the Maximum Entropy Method (MEM, Cornwell & Evans 1985) as implemented in CASA have been performed. Apart from low computational performance of the current implementation, which would pose a significant problem for the processing of solar data, other technical aspects prevented the inclusion in this report. It is recommended, however, that the usability of MEM for the processing of solar ALMA data is investigated in the future.

³See the CASA documentation on deconvolution algorithms: https://casa.nrao.edu/casadocs-devel/ stable/imaging/synthesis-imaging/deconvolution-algorithms

2.6.3 Imaging pixel grid

During the reconstruction of interferometric images, a discrete pixel grid has to be chosen. Typically, the pixel size of the brightness temperature maps is set as a fraction of the synthesised (CLEAN) beam width such that the map is slightly over-sampled. The original pixel size of the ART input maps (see Sect. 2.2.2 and Table 2.1) for both Band 3 and Band 6 is 0.066" and thus much smaller than the default values of 0.30" for Band 3 and 0.13" for Band 6 for the images reconstructed with SOAP. Here, finer pixel grids are used in order to investigate if higher pixel grid resolution leads to a more accurate reproduction of the source images at small (sub-resolution) spatial scales. The following pixel sizes are considered:

Band 3	0.30" (default)	0.22"	0.15"
Band 6	0.13" (default)	0.10"	0.07"

The SASIM measurement sets for PWV = 0 mm are used for this test. SOAP is then executed for each of the different pixel sizes as given above for the two bands. The CLEAN parameters are set to niter=100000, gain=0.05, robust=0.5.

The impact of the pixel size on the resulting SOAP image sequences is inspected by comparing the corresponding spatial power spectra. To enable one-to-one comparisons, adequate reference models that correspond to the reference models for the default pixel size (see Sect. 2.3) but for the chosen pixel sizes. For this purpose, the ART maps are resized to the pixel sizes used in SOAP and then convolved with the respective beams in each band. In addition, the spatial power spectrum procedure was also applied to the original ART maps at the simulation grid size (see Sect. 2.2.2). The latter can be understood as a case with superior angular resolution, for which the synthesised beam size is comparable to the pixel size and which would correspond to maximum ALMA baselines of ~ 11 km for Band 3 and ~ 5 km for Band 6, respectively.

2.7 Imaging quality indicators

2.7.1 Introduction

In the following, different metrics for the quality of the imaging results are discussed and developed. After reviewing commonly used metrics such as dynamic range in Sect. 2.7.2, alternative quality indicators are introduced in Sects. 2.7.3-2.7.5. It is important to emphasise that the aim is not to simply evaluate the overall quality of a given image but rather to determine accurately how well the original source image is reproduced after the imaging stage for a given scenario and parameter combination. The new quality indicators introduced here are therefore designed as quantitative measures for the quality of the imaging results with respect to the original (reference) images. See Table 2.5 for an overview.

The brightness temperature maps in this study are strictly brightness temperature differences as a result of the simulated interferometric imaging without application of a TP offset. The values, which for simplicity are referred to as brightness temperatures (T_b), span several 1000 K for positive and negative values. The maps are filled with emission and do not contain any sky background that would allow for the determination of noise levels. Also, the overall quality of reproducing time series is assessed as this aspect is crucial for optimising the imaging results for ALMA's solar observing mode.

2.7.2 Common metrics

In the following, we discuss metrics that are commonly used for observational data and explain how they are modified and/or why the TDR/SPR indicators should be used instead.

Contrast

For an image with values I, the contrast \mathscr{C} is defined as

$$\mathscr{C} = \frac{I_{\max} - I_{\min}}{I_{\min} + I_{\max}}$$
(2.1)

This definition is not useful for interferometric brightness temperature maps as the pixel values are both negative and positive with a average value close to zero. Alternatively, the full brightness temperature range can be calculated as

$$\mathscr{C}_T = T_{b,max} + |T_{b,min}| \tag{2.2}$$

While this metric can be used to assess the sharpness of an image, it bears no information on how well the original image is reproduced.

Dynamic range

According to Cornwell et al. (1993), the dynamic range DR is defined as the ratio of the peak brightness in an image to the off-source error, which then provides a single-number measure of the image contrast. The DR of an image with values I can then be calculated as

$$DR = \log_2 I_{max} - \log_2 I_{min} \tag{2.3}$$

with the maximum pixel value I_{max} and the minimum value I_{max} as set by the off-source error level. As mentioned above, the primary beam of interferometric brightness temperature maps in this study is filled with emission so that the off-source error level is not known. Also, the negative brightness temperature values in T_b , prohibit the use of the definition of the DR given above. Alternatively, one can adopt the definition

$$DR' = \log_2 |T_{b,max}| \tag{2.4}$$

but, like for the contrast discussed above, this metric does not provide a measure for how well the original image has been reproduced. It would be possible to compare the DR' of the original map to the imaging result but this metric then solely relies on the maximum values in the images.

Imaging fidelity

Cornwell et al. (1993) define the fidelity as the ratio of a pixel value to the error between the true sky distribution and the reconstructed image. The fidelity has therefore the same dimensions as the input image and in principle provides a signal-to-noise (SNR) estimate for each pixel. In an attempt to condense the information into a single number, the median of the (imaging) fidelity can be defined as

$$f = \text{median}\left\{\frac{T_{\text{b}}}{T_{\text{b}} - T_{\text{b,ref}}}\right\}$$
(2.5)

or, given the occurrence of negative values, as

$$f = \text{median}\left\{\frac{|T_{\rm b}|}{|T_{\rm b} - T_{\rm b, ref}|}\right\}$$
(2.6)

In principle, this metric then captures the typical relative error in the reconstructed brightness temperature map, although this is not an ideal definition for a value distribution that is centred around a small absolute value (close to zero).

Visibility SNR curve

The metric as described by Cornwell et al. (1993) is derived from the difference between the reconstructed image and the (convolved) model image, which is then Fourier-transformed, radially averaged and finally divided into the radially-binned Fourier transform of the model. This metric can only be calculated if the true brightness (temperature) distribution of the source is known.

2.7.3 New imaging quality indicators

As described above, the aim of this study is to determine which imaging parameters result in the most accurate reproduction of the original source image. This task requires to quantitatively evaluate the differences between imaging products and the original (reference) maps. Next to differences in brightness temperature values, also the good reproduction of the spatial structure of the source image is wanted. The new imaging quality indicators (QI) introduced and used in this study are therefore divided into two groups:

- **Direct brightness temperature differences** as measures for the accuracy of the reconstructed brightness temperature values of the source (see Sect. 2.7.4). The abbreviation **TDR** stands for the radially averaged brightness temperature difference with respect to the reference model.
- **Differences in the spatial power spectra** as measures for the reproduction of the spatial structure of the source (see Sect. 2.7.5). The abbreviation **SPR** stands for the ratio of the spatial power spectra with respect to the reference model.

Please note that these indicators probe similar properties as the metrics described in Sect. 2.7.2 but are adjusted for the particular data sets used in this study (see also Sect. 2.7.1).

For a given scenario and receiver band, the quality indicators are primarily evaluated as cubes with the three dimensions being niter-gain-robust. The QI values in these cubes are mapped to a range of 0-1 with 0 being the best result and 1 the worst result in that cube. This process results in scaled cubes for all quality indicators for each receiver band, weather scenario, and each spatial (scale) bin, which are introduced in the following sections. We note that, depending on the foreseen scientific goal, the optimal parameter choice can be found via the TDR indicators or via the SPR indicators or via a combination of both.

As will be detailed in the next sections, these quantities are derived for different spatial bins covering different distance ranges from the axis and different spatial scale bins. This choice is made in view of the dependence of the image quality on the distance from the axis (i.e. the centre of the field-of-view, FOV) and the resulting change in signal-to-noise due to the primary beam response. It is important to note that variations within a spatial (scale) bin can coincidentally cancel out so that a low average

value, i.e. a brightness temperature difference (TDRA) close to 0 K or a spatial power ratio (SPRA) close to 1.0 alone would be misleading. These potential problems are mitigated through several measures:

- 1. Only the absolute brightness temperature differences with respect to the reference model are evaluated although this means that this value hardly ever will go to a value close to zero for realistic imaging set-ups as the reference model represents the ultimately ideal case with complete *uv*-coverage, no instrumental noise and no phase corruption.
- 2. Weighted averages across the spatial bins account for the impact of the primary beam response although the exact choice of these weights can be changed depending on the requirements of the scientific application. For this study, the focus the outermost parts of the FOV are weighted lower as there the larges deviations occur.
- 3. Combing the average and the standard deviation of the difference with respect to the reference model provides a more reliable metric for the overall quality. The combined quality indicators TDR and SPR are calculated by adding the scaled average cubes (TDRA or SPRA) and the corresponding standard deviation cubes (TDRV or SPRV) with equal weight (0.5 + 0.5).
- 4. Finally, the deviations in terms of brightness temperature values and reproduction of the source structure (as evaluated with spatial power spectra), which presents a metric of the overall imag reproduction quality, are evaluated together in form of a Unified Quality Indicator (UQI). See Sect. 2.7.6 for more information regarding the UQI and Sect. 3.1.3 for the final results based on the UQI).

Please note that the following sections provide detailed descriptions of the considered metrics (see Table 2.5) and also contain approaches that are not used for the final evaluation. These descriptions are provided as contribution of a possible further development of quality indicators in the future that might be motivated by different requirements and priorities of more specialised science cases.

Abbreviation	Description		
TDR	Brightness temperature difference with respect to the corresponding		
	reference model (in radial bins or weighted average, across whole time series)		
TDRA	A Average of TDR		
TDRV	Standard deviation of TDR		
TDR+	TDRA and TDRV combined, weighted average across all spatial bins		
SPR	Spatial power ratio with respect to the corresponding reference		
	model (in spatial bins or weighted average, across whole time series))		
SPRA	Average of SPR over a bin		
SPRV	Standard deviation of SPR		
SPR+	SPRA and SPRV ombined, weighted average across all spatial bins		
UQI	Unified Quality Indicator combining TDRA, TDRV, SPRA, SPRV		

Table 2.5: Quality indicators used in this study. Please note that the TDR and SPR indicators are always calculated within spatial bins but can then evaluated as corresponding weighted averages. An additional b indicates that the quantity refers to individual bins (e.g., TDRAb) but the weighted average otherwise. See Sects. 2.7.4-2.7.6 for further explanation.



Figure 2.13: Definition of concentric regions and primary beam levels used for the quality indicators for Band 3 (top) and Band 6 (bottom). Left: Primary Beam Response with the HPHW marked by dashed lines. Right: Concentric regions in the image: C: Centre, I: Inner, O: Outer.

		inner boundary		outer boundary		enclosed area
R	egion	radius*	PBR**	radius*	PBR**	fraction***
C	Centre	0.0	100.0 %	12.0 %	99.0%	1.4 %
Ι	Inner	12.0 %	99.0 %	76.6 %	66.6 %	57.2 %
0	Outer	76.6%	66.6%	131.8 %	30.0 %	115.0 %

With respect to the radius at half power (PBR=50%)

** With respect to Primary Beam Response; PBR=50% at HWHP (Half Width Half Power)
*** With respect to primary area with a diameter of HWHP (PBR=50%)

Table 2.6: Definition of concentric regions (spatial bins) in terms of radius from the axis (i.e. centre of the brightness temperature map) and the corresponding primary beam response for Band 3 and Band 6. The rightmost column shows the corresponding area of the regions with respect to the primary beam area at a primary beam response of 50%.

2.7.4 Brightness temperature differences

Overall definition

A straightforward way to evaluate the overall deviation for a given reconstructed map $(T_b(x, y, t))$ from the original (reference) map $(T_{b,ref}(x, y, t))$ is to calculate the average and standard deviation of the brightness temperature difference $\Delta T_b(x, y, t) = T_b(x, y, t) - T_{b,ref}(x, y, t)$ as but, as noted in Sect. 2.7.3, these differences can cancel out so that it is preferable to rather use the absolute values of these differences. Accordingly, the following two quantities are defined:

$$TDM = \langle |\Delta T_{b}|(x, y, t)\rangle_{x, y, t} = \langle |T_{b} - T_{b, ref}|(x, y, t)\rangle_{x, y, t}$$
(2.7)

and

$$TDV = \frac{1}{N} \sqrt{\sum (|\Delta T_{\rm b}|(x,y,t))^2} = \frac{1}{N} \sqrt{\sum (T_{\rm b} - T_{\rm b,ref})^2(x,y,t)}$$
(2.8)

For each image set, i.e. a time series of brightness temperature maps $T_b(x, y, t)$ for a given scenario, band, and imaging parameter combination, a pair of TDM and TDV values results. These two metrics can then be combined into one combined quality indicator by scaling the TDM and TDV values to the full TDM and TDV range for a given scenario *i* and receiver band *j*:

$$TDC_{ijk} = a_m \frac{TDM_{ijk} - TDM_{\min,ij}}{TDM_{\max,ij} - TDM_{\min,ij}} + a_v \frac{TDV_{ijk} - TDV_{\min,ij}}{TDV_{\max,ij} - TDV_{\min,ij}}$$
(2.9)

with the index *k* representing a specific imaging parameter combination. In this study, the average and variation are weighted equally, i.e. $a_m = a_v = \frac{1}{2}$.

Definition of concentric regions

In order to capture the variation of the imaging quality as a function of radius, the given brightness temperature map is divided into three concentric regions. The boundaries are defined as levels of the primary beam response (PBR) of a 12m antenna and are thus connected to the sensitivity as function of distance from the axis, i.e. from the centre of the map. The boundaries can also be expressed as the corresponding relative radii relative to the Half Width Half Power (HWHP) of the primary beam. The definitions of the boundaries between the Centre (C), Inner (I), and Outer (O) region are summarised in Table 2.6 and illustrated in Fig. 2.13. The Centre region has a diameter that corresponds to approximately five times the synthesised beam width (depending on the used value

Region		Bai	nd 3	Band 6		
		min. radius max. radius min. radius		max. radius		
		[arcsec]	[arcsec]	[arcsec]	[arcsec]	
C	Centre	0.0"	3.5"	0.0"	1.5"	
Ι	Inner	3.5"	22.3"	1.5"	9.7"	
0	Outer	22.3"	38.4"	9.7"	16.6"	

Table 2.7: Radii for the boundaries of the concentric regions (spatial bins) for Band 3 and Band 6.

Spatial	Band 3		Band 6	
scale bin	lower limit	upper limit	lower limit	upper limit
SUBRES	0.30"	1.42"	0.13"	0.62"
SMALL	1.42"	4.26"	0.62"	1.86"
MEDIUM	4.26"	12.50"	1.86"	5.45"
LARGE	12.50"	66.70"	5.45"	29.0"

Table 2.8: Definition of spatial scale bins used for the quality indicators based on spatial power ratios. Please note that the SUBRES bin is only defined for completeness and might be used for future analysis of noise contributions at the these scales. For the calculation of the quality indicators in this study, the SMALL to LARGE bins are used.

for the robust parameter) and to roughly 12% of the 12m primary beam width (FWHP). The Centre region covers a very small fraction of primary beam area but captures the imaging performance at and close to the beam axis. The Inner region covers a much larger area and reaches out 76.6% of the primary width. This region is in practice essential for any (standard) scientific analysis of the maps. Finally, the Outer region covers larger distances from the beam axis and includes even areas with a PBR of down to 30.0%. It is the largest region in terms of covered area but also the one with the lowest SNR.

Following the ALMA Cycle 5 Technical Handbook⁴, we set the primary beam width for an actual 12m ALMA antenna as the Half Power Beam Width (HPBW ~ $1.13\lambda/D$, due to non-uniform illumination). This results in primary beam widths of 58.3" for Band 3 and 24.4" for Band 6, respectively. Please note that the mask of imaging products can be set as a threshold in the primary beam response, which is usually set to smaller values than the actual primary beam width in SOAP. The exact width of the mask (i.e. the part of the map with actual data) in the SOAP output can therefore in principle vary depending on the PBR threshold chosen during the imaging process. However, for this study, we strictly apply the outer boundaries defined above. The resulting boundaries between the three concentric regions in absolute units of arcsec for Band 3 and Band 6 are given in Table 2.7.

TDR+		SPR+	
bin	weight	bin	weight
CENTRE	0.10	SUBRES	0.0
INNER	0.60	SMALL	0.40
OUTER	0.30	MEDIUM	0.40
		LARGE	0.20

⁴ALMA Cycle 5 Technical Handbook: Doc 5.3, ver. 1.0, March 21, 2017

Table 2.9: Weights for the individual regional and spatial scale bins for the calculation of overall parameter combinations.

Average brightness temperature difference as function of radius

In Figures 2.14 and 2.15, examples of Band 3 and Band 6 brightness temperature maps for a randomly selected time step are shown. Panel c of each figure shows the difference $\Delta T_{b}(x, y, y)$ of those brightness temperature maps that are produced with SOAP and the corresponding reference models. The difference is also shown for cuts along the x- and y-axis through the centre in panel d, whereas panels e and f show the radial average of absolute the difference $(\langle |\Delta T_b| \rangle_r(r))$. It should be noted that the exact distribution of the $\langle |\Delta T_{\rm b}| \rangle_r(r)$ values in Figs. 2.14 and 2.15 will vary over time in response to the dynamics in the model atmosphere. The 3D simulations reveal significant variations of the atmospheric structure and (gas) temperatures, which (at least qualitatively) agree with observations across a large range of wavelengths. That means also that the exact brightness temperatures and their gradients can vary significantly across the simulated field-of-view and time span. Another difference between the maps shown for Band 3 and Band 6 is the periodic repetition of the original model (see Sect. 2.2.3 and Table 2.1), which results in the bright feature in the middle of the original model appearing once in the Band 6 maps but several times in the Band 3 maps. The simulated Band 3 maps thus have bright features in the outer parts of the simulated field-of-view in addition to the central one, which contribute substantially to the flux across the primary beam. This effect impacts the radial profiles of the brightness temperatures both in the CLEANed maps and the reference maps and thus the resulting radially averaged differences. In essence, it could be argued that the simulated scenario for Band 3 is thus slightly different from the scenario for Band 6 in terms of contained bright features as compared to Quiet Sun regions but such differences are also expected for real observations as a direct result of the largely different primary beam sizes for the two bands.

Calculation of TDR quality indicators.

The calculation of the TDR quality indicators is illustrated for Band 3 and Band 6 in Figs. 2.14 and 2.15, respectively. For each receiver band, weather scenario, and considered imaging parameter combination (see Table 2.4 and Sect. 2.6.1), the following steps are performed:

1. The brightness temperature differences are calculated time step by time step as the difference of the brightness temperature map for the tested case ($T_b^c(x,y,t)$, see Fig. 2.14b and Fig. 2.15b) and the corresponding reference model ($T_b^{ref}(x,y,t)$ see Figs. 2.14a and 2.15a):

$$\Delta T_{\rm b}^{\rm c}(x,y,t) = T_{\rm b}^{\rm c}(x,y,t) - T_{\rm b}^{\rm ref}(x,y,t)$$
(2.10)

The resulting brightness temperature difference map is shown for a selected time step in Figs. 2.14c and 2.15c.

- 2. The difference maps are radially averaged (with the radius being the distance from the centre of the maps), which results in a radial profile $\overline{\Delta T_{\rm b}^{\rm c}}(r,t)$ for each time step. See Figs. 2.14e and 2.15e) for examples for a selected time step.
- 3. The brightness temperature difference as function of radius is then calculated as time-average of the radial profiles of the absolute difference values:

$$\Gamma DR = \left\langle \left| \overline{\Delta T_{b}^{c}} \right| (r, t) \right\rangle_{t}$$
(2.11)

4. For each of the three regions (C,I,O, see Sect.2.7.4), the average (TDRAb) and the standard deviation (TDRVb) of the brightness temperature is calculated. See Figs. 2.14 and 2.15 for

illustration.

5. The TDRAb and TDRVb values are combined into the combined TDRA and TDRA indicators by calculating the weighted averages across all bins. The weights are specified in Table 2.9. The Inner region was weighted highest. The centre was given less weight due its lower statistical significance and as the differences can be affected by the normalisation over the whole primary beam area. The Outer region is considered but with a lower weight. The outer regions of brightness temperature maps should always be analysed with caution as larger brightness temperature uncertainties are to be expected.

The respective TDRA and TDRV cubes have dimensions set by the considered niter, gain, and robust values. Next, the individual TDRA cubes (i.e. for each receiver band and scenario) are each scaled to a range from 0 to 1 so that the combination [niter, gain, robust] with the lowest value corresponds the best choice for TDRA, respectively. The same procedure is followed for the TDRV cubes. Finally, the scaled TDRA and TDRV cubes are combined with equal weight into the TDR+ quality indicator. A TDR+ value is thus calculated for each combination of receiver band and weather scenario.

The resulting quality indicator values are compared and discussed in Sect. 3.1.1.

2.7.5 Spatial power spectra

Definition of spatial scale bins

For the analysis of the spatial power spectra for the resulting SOAP maps, the covered spatial scale range is divided into four bins, namely SUBRES, SMALL, MEDIUM, and LARGE (see Table 2.8), although the SUBRES bin is not considered for the calculation of the quality indicators. The boundaries between these bins are chosen with respect to ALMA's instrumental properties (see also Sect. 2.6). The lowest spatial scale boundary is set by the grid pixel size of the images produced by SOAP, which is here 0.3" for Band 3 and 0.13" for Band 6. The pixel grid is oversampling with respect to the nominal interferometric angular resolution (i.e. the width of the synthesised beam). Spatial scales below the latter are therefore grouped into the sub-resolution (SUBRES) bin. An initial investigation of the resulting power spectra revealed that it is useful to define the next bin boundary as three times the nominal interferometric angular resolution (the SMALL bin), whereas larger scales are again divided into the MEDIUM and LARGE bin. The division between MEDIUM and LARGE is here set by the angular resolution of the ACA so that the largest scales sampled by the 12-m Array fall into the LARGE bin. The latter therefore covers the complete range of scales that is sampled by the ACA. The largest considered spatial scale and thus the limit for the LARGE bin is set by the maximum recoverable scale (MRS) of the ACA. Even larger scales are not accounted for as they are larger than the typical image sizes.

Calculation of SPR quality indicators.

Examples for the spatial power spectra for selected parameter combination are shown in Figs. 2.16 and 2.17 for Band 3 and Band 6, respectively. The power spectra for the corresponding reference models and the variation of the power spectra during the time series are also shown. The differences between the power spectra of the SOAP maps and the reference model maps are difficult to discern in the typical log-log presentation but become more evident when plotting the ratio of the spatial

power spectrum for a SOAP map and the corresponding reference model map (see panels b and d in the figures). The examples clearly show that the power spectrum for the tested cases deviate from the reference spectrum but that this deviation depends on the spatial scale and differs for the receiver bands, scenario and (not displayed) the imaging parameter combination. The degree to which the power spectrum of the reference case is reproduced is used here as a key quality indicator for the chosen imaging parameter combination. For each receiver band, weather scenario, and tested imaging parameter combination, the calculation of the SPR quality indicators is carried out as follows:

- 1. The spatial power spectrum is calculated time step by time step for each SOAP map and the corresponding reference model map. The power spectra are radially averaged, resulting in spatial power spectra for the tested case (see red shades in Fig. 2.16a,c and Fig. 2.17a,c) and the reference model (see blue shades in the same figure panels) for each time step.
- 2. For each time step, the spatial power spectrum for the tested case $(P_{c}(k,t))$ is divided by the power spectrum for the reference model $(P_{ref}(k,t))$

$$\mathscr{S}(k,t) = \frac{P_{\rm c}(k,t)}{P_{\rm ref}(k,t)}$$
(2.12)

as function of the spatial wave number k. The resulting spatial power ratios are shown in Fig. 2.16b,d and Fig. 2.17b,d as coloured shades as function of spatial scale $\bar{x} = \frac{k}{2\pi}$.

3. The SPR quality indicator as function of spatial scale is then calculated as time-average of the spatial power ratio:

$$\operatorname{SPR}(\bar{x}) = \left\langle \overline{\mathscr{G}}_{c}(\bar{x}, t) \right\rangle_{t}$$
(2.13)

The result is shown as black line in Fig. 2.16b,d and Fig. 2.17b,d.

- 4. For each of the four spatial scale bins (SUBRES, SMALL, MEDIUM, LARGE, see Sect. 2.7.5), the average (SPRA) and the standard deviation (SPRV) of the spatial power spectrum is calculated. Examples for the resulting values shown in Fig. 2.16b,d and Fig. 2.17b,d as red lines (SPRA) and blue lines (SPRV) for all four bins.
- 5. The SPRA values are calculated as weighted averages across the spatial bins from the respective values in the bins (SPRAb). The same procedure is followed for the SPRV values. The weights are specified in Table 2.9. The largest weight was given to the SMALL and MEDIUM scales since they are best sampled by ALMA. The LARGE scales enter accordingly only with small weight, while the SUBRES bin is not considered for the quality indicator.

The resulting SPRA and SPRV cubes have dimensions set by the considered niter, gain, and robust values. The individual SPRA cubes (i.e. for each receiver band and scenario) are then scaled each to a range from 0 to 1 so that the combination [niter, gain, robust] with the lowest value corresponds the best choice for SPRA, respectively. The same procedure is followed for the SPRV cubes. Finally, the scaled SPRA and SPRV cubes are combined with equal weight into the SPR+ quality indicator. A SPR+ value is thus calculated for each combination of receiver band and weather scenario.

The resulting SPRC quality indicator values are compared and discussed in Sect. 3.1.2.

2.7.6 Unified Quality Indicator

The TDR quality indicators discussed above prioritise the accurate reproduction of brightness temperature values, while the SPR indicator assess how accurately the spatial distribution, i.e. brightness temperature pattern, is reproduced. For most scientific applications, both aspects are equally important. Consequently, the combination of the TDR and SPR indicators into an Unified Quality Indicator (UQI) is chosen for the final evaluation of the imaging process in this study. The minimum UQI value in a resulting combined cube for a given receiver band and weather scenario then indicates the parameter combination niter-gain-robust that produces the best overall results. The determined optimum parameter combination is thus a compromise of a minimal brightness temperature differences with respect to the reference model across most of the field-of-view (with the error-prone outer areas being weighted less) and resulting spatial power spectrum that closely resembles that of the corresponding reference model.


Figure 2.14: Calculation of the brightness temperature difference ΔT_b for Band 3 for a selected time step and exemplary test case (see top for parameters). **a**) The reference model $T_{b,ref}(x,y)$ (ART map convolved with synthesised beam). **b**) SOAP output map $T_{b,c}(x,y)$. **c**) Difference of SOAP map and reference model $\Delta T_b = T_{b,c} - T_{b,ref}$. **d**) Profiles of ΔT_b through the centre along the x- and y-axis. **e**) Histogram of ΔT_b values as function of radius (distance from centre). **f**) Average radial profile of the absolute difference $|\Delta T_b|$ (black solid) and the range enclosed by one standard deviation (grey area) but here over the whole time series. The concentric regions (bins) are marked in all panels. The resulting values of the average (TDRA) and standard deviation (TDRV) quality indicators are shown in panel f for all bins as red and blue lines, respectively.



Figure 2.15: Calculation of the brightness temperature difference ΔT_b for Band 6 for a selected time step and exemplary test case (see top for parameters). **a**) The reference model $T_{b,ref}(x,y)$ (ART map convolved with synthesised beam). **b**) SOAP output map $T_{b,c}(x,y)$. **c**) Difference of SOAP map and reference model $\Delta T_b = T_{b,c} - T_{b,ref}$. **d**) Profiles of ΔT_b through the centre along the x- and y-axis. **e**) Histogram of ΔT_b values as function of radius (distance from centre). **f**) Average radial profile of the absolute difference $|\Delta T_b|$ (black solid) and the range enclosed by one standard deviation (grey area) but here over the whole time series. The concentric regions (bins) are marked in all panels. The resulting values of the average (TDRA) and standard deviation (TDRV) quality indicators are shown in panel f for all bins as red and blue lines, respectively.



Figure 2.16: Examples of spatial power spectra for Band 3 for the excellent (top row) and extreme (bottom row) scenario. The selected SOAP parameters are shown to the left. In the left column, the power spectrum for the selected data set is plotted in red with the value range of the time series represented by light red shades and the time-average by a solid red line. The corresponding reference model is plotted in blue. At the bottom, the spatial scale ranges are marked that are defined by the angular resolution and maximum resolvable scales for the 12-m Array and the ACA, respectively. The beam width of a 12-m antenna is presented as vertical dashed line. At the top, the different spatial scale bins for labelled. The corresponding spatial power ratios (SPR = $P_{\text{testcase}}/P_{\text{ref.model}}$) are shown in the right column. The shaded areas mark the value ranges in the different bins for the full time series. The horizontal red lines represent the time-averaged value (SPRA) for each bin, whereas the blue vertical lines represent the standard deviations (SPRV) for each bin.



Figure 2.17: Examples of spatial power spectra for Band 6 for the excellent (top row) and extreme (bottom row) scenario. The selected SoAP parameters are shown to the left. In the left column, the power spectrum for the selected data set is plotted in red with the value range of the time series represented by light red shades and the time-average by a solid red line. The corresponding reference model is plotted in blue. At the bottom, the spatial scale ranges are marked that are defined by the angular resolution and maximum resolvable scales for the 12-m Array and the ACA, respectively. The beam width of a 12-m antenna is presented as vertical dashed line. At the top, the different spatial scale bins for labelled. The corresponding spatial power ratios (SPR = $P_{\text{testcase}}/P_{\text{ref.model}}$) are shown in the right column. The shaded areas mark the value ranges in the different bins for the full time series. The horizontal red lines represent the time-averaged value (SPRA) for each bin, whereas the blue vertical lines represent the standard deviations (SPRV) for each bin.

3. Results

3.1 CLEAN parameter grid

For each weather scenario and each receiver band, the quality indicators described in Sect. 2.7 are available for all considered parameter combinations niter-gain-robust for all concentric regions and all spatial scale bins. The aim of this section is now to determine the parameter combinations that produce the best imaging results. The results for the TDR quality indicators and the SPR quality indicators are discussed separately in Sects. 3.1.1 and 3.1.2, respectively. The final evaluation based on the Unified Quality Indicator is presented in Sect. 3.1.3.

3.1.1 Brightness temperature differences

The results for the brightness temperature difference indicators are presented in Figs. Fig. 3.1-3.7. Please see Fig. 3.1 for an illustration of the combined quality indicator TDR+ in the niter-gain-robust parameter space and how the optimal imaging parameter combination is determined. The shown quality indicator is calculated from the weighted average of the mean and standard deviation of the brightness temperature differences with respect to the reference model. Please see Table 2.9 for the weight for the different spatial bins. For this illustration, the Band 6 data for the problematic scenario are selected. The top row shows the quality indicator in the niter-gain plane for three selected robust values. The colour-coding is chosen across the whole three-dimensional cube (niter-gain-robust), which reveals trends and the best parameter combination for the selected receiver band and scenario. In this case, it gets clear that the best choice for producing the brightness temperature is achieved with combination niter=25000, gain=0.02, and robust=0.0 (see white circle in the top row) although other combinations (as visible from similar dark green colours) would almost as good results. Please note cases for niter=0 are not considered for finding the optimal choice as they refer to the dirty maps. The same type of diagrams is shown for Band 3 and 6 for the TDRA, TDRV, and TDR+ quality indicators in Figs. 3.2-3.7.

The other two rows in Fig. 3.1 provide alternative visualisations of the TDR+ indicator in the nitergain-robust space. For this example, an increasing value of niter often leads to improved results



Figure 3.1: Determination of the optimal imaging parameter combination based on the combined TDR+ quality indicator for Band 6 for the problematic scenario. a-c) niter-gain diagrams for the three out of the five robust values (left to right). In each panel, the quality of the SOAP images is presented as color depending on the niter and gain parameters, resulting in 6×5 coloured squares. Dark green stands for the best choice, while dark red represents the poorest choice. Cases with niter=0 are not considered for finding the optimal choice as they refer to dirty maps. A plus or minus in each square indicates if the brightness temperature is on average (TDRA) lower or higher than in the reference model. The numbers in each panel specify the average (top) and standard deviation of the brightness temperature difference with respect to the reference model. The best choice in each row, i.e. the best combination of niter-gain-robust is marked with a white circle. **d-f**) 3D surface plots for the TDR+ indicator corresponding to the respective parameter subspaces (i.e. robust values) in the row above. Please note that the data has been interpolated to a finer grid for a better visualisation of trends. g-i) TDR+ as function of two parameters with the third dimension fixed to the best choice within the cube. From left to right, the panels show on the main axis niter, gain, and niter, whereas the different colored lines represent the gain, niter, or robust dimension as stated in the panel legend, respectively. The respective cases with the best parameter choices are marked with thick lines.



Band 3 - Quality indicator - average of $|\Delta T_b|$, weighted average over spatial bins

Figure 3.2: Comparison of the TDRA quality indicator for Band 3. The individual rows show the niter-gain plane for the five considered robust values (in each column). The colours represent the value of the quality indicator scaled across the whole row with dark green representing the best result and dark red the worst result, respectively. In each row (i.e. for each scenario) the best parameter combination is marked with a white cross in a circle. As the values for the quality indicator differ across the different scenarios, the color bar to the left next to the scenario label show the covered value range with respect to all scenarios, again from best (dark green) to worst (dark red).



Band 3 - Quality indicator - variation of $|\Delta T_b|$, weighted average over spatial bins

Figure 3.3: Comparison of the TDRV quality indicator for Band 3. See the caption of Fig. 3.2 for an explanation.

but this clearly depends on the chosen gain value. Not unexpectedly, it turns out that the choices of niter and gain are not fully independent and that different combinations the two parameters can lead to comparable results, as far as measured with the chosen quality indicator. In this particular example, a small value for gain in combination with larger value for niter and robust=0.0



Band 3 - Quality indicator - combined avg+rms $|\Delta T_b|$, weighted avg. o. spat. bins

Figure 3.4: Comparison of the TDR+ quality indicator for Band 3. See the caption of Fig. 3.2 for an explanation. This quality indicator combines the average and variation of the deviations from the reference models in terms brightness temperature values and spatial power spectra in produce image time series.

produces the best results. As will be discussed below, the exact behaviour and implications for the best parameter choice depend on the selected receiver band, scenario, spatial bin, and also quality



Band 6 - Quality indicator - average of $|\Delta T_b|$, weighted average over spatial bins

Figure 3.5: Comparison of the TDRA quality indicator for Band 6. See the caption of Fig. 3.2 for an explanation.

indicator.



Band 6 - Quality indicator - variation of $|\Delta T_b|$, weighted average over spatial bins

Figure 3.6: Comparison of the TDRV quality indicator for Band 6. See the caption of Fig. 3.2 for an explanation.

Band 3

The results for the quality indicators for the average absolute brightness temperature difference (TDRA), the variation of this difference (TDRV), and the combination of both (TDR+) are displayed in Figs. 3.2-3.4, respectively. The TDRA indicator shows good results for combination of higher



Band 6 - Quality indicator - combined avg+rms $|\Delta T_b|$, weighted avg. o. spat. bins

Figure 3.7: Comparison of the TDR+ quality indicator for Band 6. See the caption of Fig. 3.2 for an explanation. This quality indicator combines the average and variation of the deviations from the reference models in terms brightness temperature values and spatial power spectra in produce image time series.

niter (10000 - 25000), moderate gain (typically 0.2), and medium robust (mostly -0.5 - 0.0). The TDRV indicator shows the same trend and implies the same choices for optimal imaging parameters.

Interestingly, both TDRA and TDRV do not show further improvement in the extreme scenario for higher values of niter and gain, indicating the limitations in terms of quality that can be achieved for highly corrupted measurement sets. Please note that quality that can be achieved for the extreme scenario is much lower than for the less corrupted scenarios as can be seen from comparing the color bars to the very left of each figure with each other. Since the TDRA and TDRV results are similar, also the combined TDR+ leads to the same conclusions for Band 3.

Band 6

The corresponding results for Band 6 for the TDRA, TDRV, and TDR+ indicators exhibit much stronger restrictions for the optimal choice of imaging parameters. While a larger number for niter generally improves the quality, its deteriorates with increasing gain. This effect becomes more pronounced for the more difficult the weather scenario is. As a result, TDRA points at a combination of high niter and low gain. The same conclusion is derived from the TDRV and TDR+ results for Band 6. As for Band 3, a low to moderate robust value is preferable, while values larger than 0.0 are not recommended.

3.1.2 Spatial power ratios

The resulting SPR quality indicator values are compared for the different cases in Figs. 3.8-3.13. The optimum parameter combinations niter-gain-robust based on the SPRC quality indicator are determined in the same way as illustrated for the TDRC indicator in Fig. 3.1 and described in the previous subsection.

Band 3

As for the TDR results, the SPR indicator turns out worst for combinations of low niter and gain. Please refer to Figs. 3.8-3.10 for the results of the SPR indicators for Band 3. This is particularly true for low or high values for robust whereas a medium values generally fairs better. A strong difference to the TDR indicators is, however, that the range of the SPR indicators is much larger across all scenarios, resulting in stronger restrictions for the optimal parameter choices. In particular the SPRA indicator favours a combination of large niter, low gain, and robust=0. An exception are the problematic and extreme scenarios for which a low robust value and moderate gain leads to a better reproduction of the average spatial power spectrum. In contrast, the SPRV indicator for the less corrupted Band 3 cases implies good results even for high robust values and not much difference within the niter-gain plane as long as the robust value is not low (i.e. for robust > 0). The problematic and extreme scenario again tend to produce lower quality and are on that level less susceptible to the exact choice of imaging parameters, maybe except for a weak preference for medium niter-gain combinations. The SPR+ indicator is strongly impacted by the behaviour of the SPRA indicator. Consequently, a combination of moderately high niter, low gain, and robust=0 is recommended. The only notable exception is the generally worse scenario extreme, for which a combination of high niter, medium gain, and robust=-2 might help to recover the spatial power spectrum to some extent.



Band 3 - Quality indicator - average of SPR, weighted average over spatial bins

Figure 3.8: Comparison of the SPRA quality indicator for Band 3, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. See the caption of Fig. 3.2 for an explanation.

Band 6

The SPRA indicator shows a similar behaviour for Band 6 than for Band 3 for robust < 0 but implies poor quality even for higher robust values. Surprisingly, a very low niter with moderate to high



Band 3 - Quality indicator - variation of SPR, weighted average over spatial bins

Figure 3.9: Comparison of the SPRV quality indicator for Band 3, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. See the caption of Fig. 3.2 for an explanation.

gain and robust=2 is indicated as best choice for all scenarios but a possible almost as good alternative could a combination of high niter, high gain, and robust=2. The SPRV indicator shows similar results for Band 6 as for Band 3, suggestion a combination of medium niter, medium gain, and



Band 3 - Quality indicator - combined avg+rms SPR, weighted avg. over spatial bi

Figure 3.10: Comparison of the SPR+ quality indicator for Band 3, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. This quality indicator combines the average and variation of the deviations from the reference models in terms brightness temperature values and spatial power spectra in produce image time series. See the caption of Fig. 3.2 for an explanation.



Band 6 - Quality indicator - average of SPR, weighted average over spatial bins

Figure 3.11: Comparison of the SPRA quality indicator for Band 6, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. See the caption of Fig. 3.5 for an explanation.

robust=0. When combined into the SPR+ indicator, a combination of moderate to high niter, low gain, and robust=0 is recommended for all scenarios with exception for the extreme scenario. In the latter case, a combination of high niter, medium gain, and robust=-2 produces better results.



Band 6 - Quality indicator - variation of SPR, weighted average over spatial bins

Figure 3.12: Comparison of the SPRV quality indicator for Band 6, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. See the caption of Fig. 3.5 for an explanation.



Band 6 - Quality indicator - combined avg+rms SPR, weighted avg. over spatial bi

Figure 3.13: Comparison of the SPR+ quality indicator for Band 6, which is based on the ratio of the spatial power spectra for the produced image series and the reference models. This quality indicator combines the average and variation of the deviations from the reference models in terms brightness temperature values and spatial power spectra in produce image time series. See the caption of Fig. 3.2 for an explanation.



Band 3 - Quality indicator - combined avg+rms of TDR+SPR, weighted avg. over :

Figure 3.14: Comparison of the UQI quality indicator for Band 3, which combines the averages and variations weighted across all spatial bins for deviations of the brightness temperature values and the spatial power spectra for the produced image series with respect to the reference models. See the caption of Fig. 3.2 for an explanation.



Band 6 - Quality indicator - combined avg+rms of TDR+SPR, weighted avg. over :

Figure 3.15: Comparison of the UQI quality indicator for Band 6, which combines the averages and variations weighted across all spatial bins for deviations of the brightness temperature values and the spatial power spectra for the produced image series with respect to the reference models. See the caption of Fig. 3.2 for an explanation.

3.1.3 Comprehensive quality assessment based on the Unified Quality Indicator

Finally, we aim to find the best overall parameter combinations that produce the highest image quality while only depending on the receiver band and weather (i.e. phase corruption) scenario. For this purpose, the Unified Quality Indicator is used, which considers the average and variations value for both the TDR and SPR indicators, i.e., TDRA, TDRV, SPRA, and SPRV together (see Sect. 2.7.6). All of these indicators are combined with equal weight in the UQI (first with equal weights into TDR+ and SPR+ and then with equal weights into UQI). The resulting best parameter choices are again from the niter-gain-robust plots (see Figs. 3.14-3.15). The exact UQI values are discussed in Sect. 4.2.

We note that there are small differences in the best choices based on the TDR+ and SPR+ quality indicators, respectively. This outcome is expected as the two indicators are geared towards best reproduction of brightness temperature amplitudes on the one hand and towards best reproduction of spatial structure on the other hand. The differences in the optimum parameter combinations for Band 3 are smaller than for Band 6, reflecting the fact that Band 3 is less susceptible to the impact of weather conditions. In principle, one could choose the parameter combinations based on either one of the indicators depending on the requirements for a given scientific goal. For this study, however, we will now conclude on the overall best imaging approach.

For Band 3, the better scenarios (excellent-moderate) favour a low robust value with high niter and moderate to high gain, whereas the more corrupted scenarios prefer a moderate niter, moderately high gain, and robust=0.0. These results are clearly a compromise as the TDR+ indicator favours medium to low robust and SPR+, on the other hand, rather implies higher robust values.

For Band 6, the recommended choices for robust are similar, i.e. low values for excellent to moderate conditions and robust=0 for more difficult scenarios. In general, a combination of high niter and low gain produces imaging results with the best overall quality

3.2 Algorithm

The results for the different deconvolver algorithms are compared for a selected time step in Figs. 3.16 and 3.17. The radially and temporally averaged brightness temperature profiles are plotted in the lower right panels. At first look, the maps look qualitatively similar. For both Band 3 and Band 6, there are minor differences in the form of small shifts of the brightness temperature scale and minor features, especially in the outermost parts. This finding is supported by the radial brightness temperature profiles, which are quite similar for the tested cases, especially for Band 3. The deviations increase somewhat with radius but typically stay below ~200 K. There are more notable deviations for Band 6. SOAP level 2 produces the lowest $T_{\rm b}$ values for a large range of radii out to ~ 12" where the Clark and Hogbom cases drop to lower values. Like for Band 3, the deviations typically stay below ~200 K. Including self-calibration as in SOAP level 3 leads to a systematic shift by on average -73 K for Band 3 and +162 K for Band 6, respectively, indicating a notable impact of self-calibration on the results.

A quantitative comparison of the results is facilitated by means of the time-averaged TDR and SPR quality indicators (see Sect. 2.7) for the different algorithms. See Table 3.1 and Fig. 3.18. Please note that the figure contains the quality indicators for all spatial bins but also the weighted averages (marked as hourglass symbols) that are calculated in the same way as in Sect. 2.7 (TDR+, SPR+).



Figure 3.16: Band 3 maps produced with different deconvolver algorithms. **a**) MultiScale-CLEAN as used in SOAP level 2 (the default in this study), **b**) MultiScale-CLEAN with self-calibration as used in SOAP level 3, **c**) Clark, **d**) Hogbom, **e**) Multi-Term (Multi-Scale) Multi-Frequency Synthesis (MTMFS) **f**) Brightness temperatures averages over the time series and radially for the five different cases.

For Band 3, MTMFS, Hogbom, and Clark reproduce brightness temperatures equally well although the latter two show a large TDRV value in the Outer region. The standard SOAP level 2 MultiClean result is performs not quite as well for the Inner region but slightly better than SOAP level 3. The situation is quite different for Band 6, for which SOAP level 2 is clearly superior and here even



Figure 3.17: Band 6 maps produced with different deconvolver algorithms. **a**) MultiScale-CLEAN as used in SOAP level 2 (the default in this study), **b**) MultiScale-CLEAN with self-calibration as used in SOAP level 3, **c**) Clark, **d**) Hogbom, **e**) Multi-Term (Multi-Scale) Multi-Frequency Synthesis (MTMFS) **f**) Brightness temperatures averages over the time series and radially for the five different cases.

produces higher quality than for Band 3. The SPRA and SPRV values for the Centre and Inner region reach very low values of 4-13 K and 40-49 K, respectively. It should be noted though that this test was performed for uncorrupted case and processing Band 6 for scenarios with phase corruption is expected to result in lower quality. Surprisingly, including self-calibration deteriorates the results



Figure 3.18: Comparison of the imaging quality indicators for the runs with different deconvolver algorithms for Band 3 (top) and Band 6 (bottom): TDRA versus TDRV (left column) and SPRA versus SPRV (column). The different colours represent the different cases: MultiScale Clean as used in SOAP level 2 (thick black, the default in this study), MultiScale Clean with self-calibration as used in SOAP level 3 (blue), Clark (green), Hogbom (orange), Multi-Term (Multi-Scale) Multi-Frequency Synthesis (MTMFS, red). The values for the individual bins are represented by different symbols (see legend on top) and connected by lines in the respective colours. The weighted averages that are connected to the TDR+ and SPR+ indicators are marked with hourglass symbols in the respective colours. The vertical lines mark TDRA=0 K and SPRA=1.0, respectively.

mostly through higher TDRA deviations from the reference model for this case. In contrast, SOAP level 3 performs best in reproducing the spatial structure for both receiver bands as measured in terms of the weighted cross-bin SPRA and SPRV indicators (SPR+). It is not entirely clear why a

Band 3								
	Cer	Centre Inner		ner	Outer			
	TDRA	TDRV	TDRA	TDRV	TDRA	TDRV		
SOAP lvl 2	-188	43	-100	90	228	95		
SOAP lvl 3	-265	70	-161	87	141	67		
Clark	-181	39	-128	51	250	158		
Hogbom	-181	39	-129	51	250	158		
mtmfs	-177	37	-85	60	174	85		
Band 6								
	Centre		Inner		Outer			
	TDRA	TDRV	TDRA	TDRV	TDRA	TDRV		
SOAP lvl 2	4	49	13	40	68	34		
SOAP lvl 3	215	39	178	46	214	57		
Clark	97	57	46	57	69	70		
Hogbom	98	57	47	57	70	70		
mtmfs	151	62	115	42	158	63		
Band 3								
	SMALL		MEDIUM		LARGE			
	SPRA	SPRV	SPRA	SPRV	SPRA	SPRV		
SOAP lvl 2	1.28	0.36	1.07	0.22	1.05	0.12		
SOAP lvl 3	1.02	0.14	1.05	0.20	0.99	0.07		
Clark	1.27	0.30	1.10	0.21	1.17	0.21		
Hogbom	1.27	0.30	1.10	0.21	1.17	0.21		

mtmfs	1.19	0.34	0.97	0.18	1.02	0.06			
Band 6									
	SMALL		MEDIUM		LARGE				
	SPRA	SPRV	SPRA	SPRV	SPRA	SPRV			
SOAP lvl 2	1.21	0.25	1.11	0.25	1.06	0.22			
SOAP lvl 3	0.96	0.12	1.11	0.25	1.09	0.21			
Clark	1.29	0.22	1.22	0.28	1.24	0.35			
Hogbom	1.30	0.22	1.22	0.28	1.24	0.35			
mtmfs	1.09	0.22	1.08	0.24	1.08	0.22			

Table 3.1: Quality indicators for the tested convolver algorithms with the brightness temperatures differences (TDRA, TDRV) for Band 3 and Band 6 in the upper half and the spatial power ratios (SPRA, SPRV) for Band 3 and Band 6 in the lower half.



Figure 3.19: Influence of imaging grid pixel size on the spatial power spectra of the resulting SOAP maps for Band 3 (left) and Band 6 (right). The respective reference model is shown at its original resolution (0.066", blue line) and after convolution with the synthesised beam and resizing to pixel sizes of 0.15", 0.22" and 0.30" (black, red and green lines, respectively). The lighter shaded black, red and green lines represent the corresponding power spectra of the SOAP output images for the same pixel sizes. Vertical dashed black, red and green lines mark the pixel sizes. The minor and major axis of the synthesised beam are represented by the purple and ochre vertical lines respectively. For Band 6 (right panel), the pixel sizes of 0.07", 0.10" and 0.13" represented by black, red and green lines, respectively.

slightly larger spread in the results is found for Band 6 but only standard parameters have been used for this experiment. It is highly likely that the spread can be much reduced when the parameters for the different algorithms would be optimised individually. However, that was computationally beyond the scope of this study.

The results of the presented experiments imply that the imaging quality of SOAP level 2 can be increased through the usage of self-calibration. Otherwise, using the MTMFS algorithm also leads to improved reproduction of spatial scale. At least for Band 3, this makes MTMFS an interesting alternative to MultiScale-CLEAN. It seems worth investigating this option in more detail for other PWV levels in the future.

3.3 Imaging pixel grid

Figure 3.19 shows the spatial power spectra analysis for the runs with different pixel grids (see Sect. 2.6.3). For both Band 3 and 6, the original reference models show high power and a smooth slope for the covered spatial scale range. When the reference models are resized to the SOAP pixel sizes and convolved with the synthesised beams, their power decreases. This change is as expected most notable at smaller spatial scales and in particular for scales below the beam size and thus below the nominal angular resolution of the simulated array configuration. The SOAP outputs behave similarly to the resized reference models for spatial scales larger than the beam size. However, for spatial scales smaller than the respective beam size, the power slope in the SOAP output becomes steeper, indicating the strong decrease of resolved structure towards smaller scales. It should be noted

here that the interferometric images are reconstructed from sampling discrete points in the u-v space and therefore information at scales smaller than the beam size is not fully recovered. The power spectra for all test pixel sizes match and meet an inflection point at ~ 1.0 " for Band 3 and ~ 0.45 " for Band 6. There the power decreases with a shallower but still significant sizes until the different cases successively reach the spatial scale range, where additional noise is add from operations such interpolation on the discrete pixel grid. It is important to note here the power spectra for the different pixel sizes match essentially perfectly for the scales smaller than the synthesised beam size and even beyond the inflection point into the noise domain. In conclusion, there seems to be no notable gain from smaller pixel sizes, neither for Band 3 nor for Band 6, that would justify the increase in data volume corresponding to the increase in number of pixels.

3.4 Integration time

Observing a source over an extended time range while following its movement across the sky, as done for Earth rotation synthesis, results in better u-v coverage and thus better imaging results. However, this approach should only by applied for sources that do not change notably during the course of the observation. In this regard, the Sun is a particular case. Many scientific applications of solar observations with ALMA aim at the dynamics and structure at small spatial scales, which are ultimately connected to short timescales too. The ART millimeter maps (see Sect. 2.2.2) that are used as reference models for the parameter study are employed for illustrating the need for short integration times. In Fig. 3.20a-b, maps for Band 3 and Band 6 with 1 s integration time are compared to corresponding maps that are integrated over 600 s (Fig. 3.20c-d), which is the typical length of a solar ALMA scan. The displayed brightness temperature ranges are kept the same, although separately for Band 3 and 6. The maps integrated over 600s appear to have less contrast as a result of a narrower brightness temperature distribution. This effect is easily explained with the dynamics on small spatial scales that occur on short timescales. Consequently, increasing the integration times results in smearing out the small-scale dynamics. The resulting decrease in the contrast of a brightness temperature map ($\delta T_{\rm b,rms}/\langle T_{\rm b} \rangle$) as function of integration time is shown in Fig. 3.20e. For Band 3, the contrast drops from 12.4 % to 10.5 %, while the contrast changes from 15.2 % to 10.8 % for Band 6 when increasing the integration time from 1 s to 600 s. Interestingly though, the differences in the (radially averaged) spatial power spectrum for the maps with 1s and 600s integration times are not very pronounced (see Fig. 3.20f). A longer integration time does lead to a decrease in spatial power at small scales, including a turnoff point at a slightly larger spatial scale but otherwise closely resembles the power spectrum of the corresponding model for 1 s integration time. The power spectrum of a map derived from observational data integrated over one scan or even over all related scans, as it is the case for the reference image produced with the imaging scripts distributed together with observational data to PIs, may thus look reasonable whereas much of the wanted small-scale structure and dynamics is lost, rendering that imaging product unusable with a majority of science cases. While good u-v coverage is always a concern, high cadence is crucial for the solar observing mode.



Figure 3.20: Simulated ART maps for a) Band 3 and b) Band 6 for an integration time of 1s compared the maps integrated over 600s of the time series (panels c and d). The primary beam is marked for Band 6, while the Band 3 beam is larger than the size of the ART map. e) The resulting brightness temperature contrast as function of integration time; f) Spatial power spectra for both bands and 1s and 600s integration time, respectively. The angular resolution given by the synthesised beam is marked for each band as vertical lines (minor axis: dot-dashed, major axis: dotted). The power spectra are limited to small scales of less than 4".

4. Conclusions and final recommendations

4.1 Summary of the results

Simulated time-dependent observations of the Sun with ALMA in Band 3 and 6 for different weather (or "seeing") conditions, are used for testing different aspects of the processing of solar ALMA data. The test data is produced with the Solar ALMA Simulator (SASIM) and then processed with the Solar ALMA Pipeline (SOAP). Please refer to the *Tech. Doc.* (Wedemeyer et al. 2023) for details of the tools. As a by-product of this study, it became clear that the level of precipitable water vapour (PWV) in the Earth's atmosphere at the ALMA site is not sufficient to describe the impact of Earth's atmosphere on the quality of ALMA data although the PWV level is commonly used as a primary quality indicator. For this study, the different tested seeing scenarios are instead defined based on the post-calibration phase variations that are seen in real (daytime) ALMA observations of the Sun.

The focus is on the imaging parameters niter-gain-robust for the CLEAN algorithm as currently used for ALMA data but also the deconvolver algorithm and the imaging pixel grid size are investigated. The comparison of the resulting brightness temperature maps produced with SOAP with respect to reference models, which present perfect observations, is quantified in terms of quality indicators that are sensitive to the reproduction of the brightness temperature values on the one hand and on the reproduction of the spatial structure in the maps on the other hand. The optimization of these quality indicators then leads to the recommended best compromises for imaging parameters depending on receiver band and seeing scenario. The resulting first recommendations for the processing of solar observations with ALMA are summarised in Sect. 4.2.

4.2 Final recommendations for optimal imaging of solar data

The following recommendations aim at the optimum choice of imaging parameters that result in the best overall reproduction of the true time-dependent properties of the solar source. For this purpose, the Unified Quality Indicator is used for which the results are described in more detail in Sect. 3.1.3.

As a result of this study, we recommend the following approach for imaging of solar ALMA data:

- 1. Calibration of the measurement set (MS).
- 2. Calculation of the Spatial Structure Function (SSF) for the calibrated MS.
- 3. Determination of the median and standard deviation of the SSF.
- 4. Imaging with MultiScale-CLEAN with imaging parameters that can be chosen from the following table with respect to the SSF median and variation.

Band	Scenario	SSF med.	SSF rms	PWV*	niter	gain	robust
3	Excellent-moderate	$\lesssim 10^{\circ}$	$\lesssim 2^{\circ}$	$\lesssim 3\text{mm}$	25 000	0.20	-0.5
	Challenging-extreme	$\gtrsim 10^{\circ}$	$\gtrsim 2^{\circ}$	\gtrsim 3 mm	10 000	0.20	0.0
			_				
6	Excellent-moderate	$\lesssim 10^{\circ}$	$\lesssim 2^{\circ}$	$\lesssim 3\text{mm}$	25 000	0.05	-2.0 – -0.5
	Challenging-extreme	$\gtrsim 10^{\circ}$	$\gtrsim 2^{\circ}$	\gtrsim 3 mm	25 000	0.02	0.0

* Please note that we recommend not to use the PWV value as sole criterion to judge the observing conditions but to rather at least review the SSF properties. wklwladsswaw waasw l

4.2.1 Execution time

While not discussed in detail in this report, the execution time for all SOAP runs was measured. The overall execution time scales (mostly) linearly with niter and to a lesser extent with gain and robust. The parameter grid was calculated across a large number of nodes, most of them with AMD EPYC 7543 32-core processors (2.8 GHz) with execution times of about 80-180 s per time step. Clearly, a higher niter increases the execution time notably but the overall required time for a solar MS is still clearly feasible on a correspondingly large machine. Even high values for niter should not pose any problem given the very small number of solar observations carried out by ALMA.

4.3 Overall conclusions and recommendations.

- In general, a higher niter value in combination with a lower gain is recommended for Band 6 as compared to Band 3. This finding is expected as Band 6 observations are more susceptible to weather conditions and a larger quality improvement can thus be gained from deeper CLEANing. In principle, Band 3 data can be handled with comparatively lower niter value and comparatively high gain value.
- The recommendation to set the robust (sub)parameter not to the default value of 0.5 is influenced by the aim of finding good compromises for parameter combinations that reproduce brightness temperature amplitudes on the one hand, and the spatial structure of the source image on the other hand. While these two different aspects are connected to opposite signs of the robust parameter, values around 0 are clearly best for an overall best imaging quality in most situations.
- While the main recommendation is to process solar ALMA data with the determined parameter combinations for best overall imaging quality, the quality in terms of accurate brightness temperature values and spatial structure are analysed separately. It is therefore possible to rather choose the found parameter combinations that maximise the quality for either of those

aspects if advantageous for the foreseen scientific application. The presented results for the TDR+ and SPR+ quality indicators might guide the appropriate parameter choice.

- The comparison of deconvolution algorithms revealed that MultiScale-CLEAN as used as default in the Solar ALMA Pipeline level 2 is an overall good choice. Yet, Multi-Term (Multi-Scale) Multi-Frequency synthesis performed well and should be investigated in more detail. To enable a fair comparison of the algorithms, comprehensive parameter grids should be explored for each algorithms. In that connection, alternatives such as the Maximum Entropy Method (MEM, Cornwell & Evans 1985) and Adaptive Scale Pixel (ASP, Bhatnagar & Cornwell 2004) deconvolution algorithm should be tested for the application to solar data but would likely require substantial development effort. New approaches based on machine learning might be suitable, too, but such a technical development would require substantial and dedicated effort.
- The investigation of different pixel sizes for the imaging grid revealed that the current choice (0.30" for Band 3, 0.13" for Band 6) is adequate and that there is no notable gain from smaller pixel sizes that justify the corresponding increase in data volume, which can be significant for solar ALMA data.
- Finally, the importance of high cadence for the ALMA solar observing mode is stressed. The impact of highly time-dependent seeing conditions for day time observing of the Sun on the one hand and the scientific potential of high cadence make this aspect essential for solar observations. Strategies that lead to improved image quality at high cadence including self-calibration and the high-cadence mode as described in the *High-Cadence Report* (Wedemeyer et al. 2023) are therefore promising for a future increase in imaging quality for solar ALMA data and the resulting scientific applications.

4.4 Concluding remarks

The presented results clearly show the potential improved imaging quality that can be reached by optimising the imaging parameters. The challenges of snapshot imaging of the Sun at high cadence with thus comparatively sparse sampling of the u-v space make the optimisation even more important. While the lack of a known reference makes this task difficult based on observational data alone, the here developed and employed usage of simulated measurement sets has large potential. Please note that the results may somewhat differ from the experience with processing real observational data. Improved imaging of the latter as e.g. measured with metrics such dynamic range (DNR) and signal-to-noise ratio (SNR) may indeed result in smoother looking images, which might thus be perceived as more reliable, but there is no way to judge how closely the real sky source is reproduced in those images. The strength of the approach used in this study is indeed that the imaging results can be systematically and quantitatively compared to a *ground truth*, which is unavailable for real observations.

The modelling approach can easily be applied to additional bands like Band 9 or 10, which are not yet offered for solar observations. It would require a small set of real observations for different seeing conditions for these bands in order to design realistic phase corruption scenarios, possibly with an updated phase corruption model, and running large imaging parameter grids. However,

seeing scenarios could even be designed without any actual observation sets if a more reliable phase corruption algorithm together with realistic atmospheric models for the ALMA site are developed first (see Sect. 2.10 in *Tech. Doc.* (Wedemeyer et al. 2023)).

The potential of the here demonstrated modelling approach with the Solar ALMA Simulator goes even beyond the optimisation of imaging parameters. While this tool enables quantitative testing for development of alternative imaging approaches that are optimised for solar data, it can also be used to guide the selection and configuration of different instrumental set-ups like receiver bands and array configurations. The Solar ALMA Simulator can thus provide valuable contributions to the future development of solar observations with ALMA.

Finally, the results of this study can help to develop improved imaging strategies for solar ALMA data. As such, the results can potentially contribute to the future development of an official processing pipeline for solar ALMA observations. We recommend to extend the scriptForPIs.py so that it extracts the phase information of a calibrated measurement set and calculated the average and standard deviation of the resulting SSF. These parameters would allow a PI then to find corresponding cases as described in this report and tot choose the CLEAN parameters accordingly. This step could even be executed automatically as part of the scriptForPIs.py, possibly even generating a corresponding script for CLEANing.

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Please also refer to the following two reports that are results of this study:

[Tech. Doc.]

Wedemeyer, S., Szydlarski, M., Carozzi, T., Toribio, M. C. et al. 2023, ESO "Advanced Study for Upgrades of the Atacama Large Millimeter/submillimeter Array (ALMA)" (CFP/ESO/16/11115/OSZ), *High-cadence Imaging of the Sun*, final study report review data package - DS4: *Technical documentation of the developed software packages*

[High-Cadence Report]

Wedemeyer, S., Szydlarski, M., Carozzi, T., Toribio, M. C. et al. 2023, ESO "Advanced Study for Upgrades of the Atacama Large Millimeter/submillimeter Array (ALMA)" (CFP/ESO/16/11115/OSZ), *High-cadence Imaging of the Sun*, final study report review data package - DS4: *The potential of a high-cadence imaging and a burst mode for ALMA observations of the Sun*

72